```
#include <string.h>
#define MAXPAROLA 30
#define MAXRIGA 80
int main(int arge, char "argv[])
   int freq[MAXPAROLA] ; /* vettore di contatori
delle frequenze delle lunghezze delle parole
   f = fopen(argv[1], "rf");
if(f==NULL)
```

#### **Processes**

#### **Signals**

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#### **Interrupts**

#### Interrupt

- Interruption of the current execution due to the occurrence of an extraordinary event
- It can be caused by
  - A hardware device that sends a service request to the CPU
  - A software process that requires the execution of a particular operation
- For further information on interrupts:
  - https://www.skenz.it/listing/os/u04-processes/u04s10-interrupts.pdf

#### **Definition**

#### A signal is

- > a software interrupt
- ▶ i.e., an asynchronous notification sent, by the kernel or by another process, to a process to notify it of an event that occurred

#### Signals

- > Allow notify asynchronous events
  - such as the occurrence of particular events (e.g., error conditions, memory access violations, calculation errors, illegal instructions, etc.)
- Can be used as a limited form of inter-process communication

#### **Definition**

#### Examples of common signals

- > Termination of a child
  - SIGCHLD sent to the parent;
     default action = ignore the signal
- Press on the terminal Ctrl-C
  - SIGINT sent to the running process (in foreground);
     default action = terminate the process
- Invalid memory access
  - SIGTSTP sent by the kernel to the process;
  - default action = suspend the execution

#### **Definition**

- The system call alarm(t)
  - SIGALRM sent after t seconds;
     default action = terminate the process
- Press on the terminal Ctrl-Z
  - SIGTSTP sent to the running process (in foreground)
     default action = suspend the execution
- Press on the terminal Ctrl-\
  - SIGQUIT sent to the running process (in foreground)
     default action = terminate the process and dump core

# Signals sent by the exception handlers

Eccezione	Exception handler	Signale
Divide error	divide_erro()	SIGFPE
Debug	debug()	SIGTRAP
Breakpoint	int3()	SIGTRAP
Overflow	overflow()	SIGSEGV
Bounds check	bounds()	SIGSEGV
Invalid opcode	invalid_op()	SIGILL
Segment not present	segment_not_present()	SIGBUS
Stack segment fault	stack_segment()	SIGBUS
General protection	general_protection()	SIGSEGV
Page fault	page_fault()	SIGSEGV
Interval reserved	none	None
Floating point error	coprocessor_erro()	SIGFPE

#### **Characteristics**

- Available from the very first versions of UNIX
  - Originally managed in an unreliable way
    - They could be lost
      - Unix Version 7: a signal could be sent and never received
    - At the reception of each signal the behavior returned the default one
      - The signal handler had to be reloaded
    - A process could not ignore the reception of a signal

#### **Characteristics**

- Standardized by the POSIX standard, they are now stable and relatively reliable
- Each signal has a name
  - > Names start with **SIG...**
  - > The file **signal.h** defines signal names
    - Unix FreeBSD, Mac OS X and Linux support 31 signals
    - Solaris supports 38 signals

## Main signals

Name	Description
SIGABRT	Process abort, generated by system call abort
SIGALRM	Alarm clock, generated by system call alarm
SIGFPE	Floating-Point exception
SIGILL	Illegal instruction
SIGKILL	Kill (non maskable)
SIGPIPE	Write on a pipe with no reader
SIGSEGV	Invalid memory segment access
SIGCHLD	Child process stopped or exited
SIGUSR1 SIGUSR2	User-defined signal ½ default action = terminate the process Available for use in user applications

You can display the complete list of signals using the shell command kill -1

Signal management goes through three phases: signal generation, signal delivery, reaction to a signal

#### Signal generation

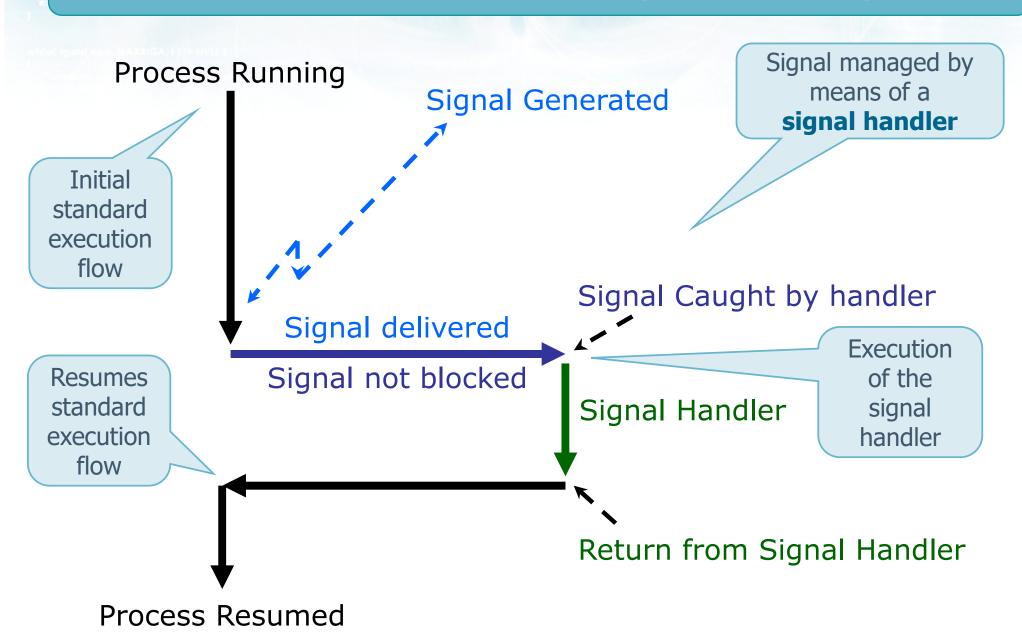
- When the kernel or a source process causes an event that generate a signal
- Signal delivery
  - A not yet delivered signal remains pending
  - At signal delivery a process executes the actions related to that signal
  - The lifetime of a signal is from its generation to its delivery

    There is no signal

queue; the kernel sets a flag in the process table

#### Reaction to a signal

- To properly react to the asynchronous arrival of a given type of signal, a process must inform the kernel about the action that it will perform when it will receive a signal of that type
- A process may
  - Accept the default behavior (be terminated)
  - Declare to the kernel that it wants to ignore the signals of that type
  - Declare to the kernel that it wants to catch and manage the signals of that type by means of a signal handler function (similarly to the interrupt management)



- Signal management can be carried out with the following system calls
  - > signal
    - Instantiates a signal handler
  - > kill (and raise)
    - Sends a signal

The terms **signal** and **kill** are relatively inappropriate. **signal** does not send a signal!!

- pause
  - Suspends a process, waiting the arrive of a signal
- > alarm
  - Sends a SIGALARM signal, after a preset time
- > sleep
  - Suspends the process for a specified amount of time (waits for signal SIGALRM)

# signal() system call

```
#include <signal.h>
Received
parameter
of the signal
handler

void (*signal (int sig,
void (*func) (int))) (int);
```

- Allow to instantiate a signal handler
  - > Specifies the signal to be managed (sig)
  - ➤ The function use to manage it (**func**), i.e., the **signal handler**

## signal() system call

```
#include <signal.h>
Parameter for the received signal handler

void (*signal (int sig, void (*func) (int))) (int);

Parameter for the returned signal handler
```

#### Arguments

- > sig indicates the type of signal to be caught
  - SIGALRM, SIGUSR1, etc.
- func specifices the address (i.e., pointer) to the function that will be executed when a signal of that type is received by the process
  - This function has a single argument of int type, which indicates the type of signal that will be handled

## signal() system call

#### Returned values

- on success, the previous value of the signal handler, i.e., the pointer to the previous signal handler function
  - Returns a void \*
- > SIG\_ERR on error, errno is set to indicate the cause
  - #define SIG\_ERR ((void (\*)()) -1

Cast unknown name into pointer to function (int) returning void

#### Reaction to a signal

- signal system call allows setting three different reactions to the delivery of a signal
  - Accept the default behavior
    - signal (SIGname, SIG\_DFL)
    - Where SIG\_DFL is defined in signal.h
      - #define SIG\_DFL ((void (\*)()) 0
    - Every signal has its own default behavior, defined by the system
    - Most of the default reactions is process termination

### Reaction to a signal

- > Ignore signal delivery
  - signal (SIGname, SIG\_IGN)
  - Where SIG\_IGN is defined in signal.h
    - #define SIG\_IGN ((void (\*)()) 1
  - Applicable to the majority of signals
    - Ignoring a signal often leads to an indefinite behavior
  - Some signals cannot be ignored
    - **SIGKILL** and **SIGSTOP** cannot be ignored because the kernel and the superuser would maintain the possibility to control all processes
    - Ignoring an illegal memory access, signaled by SIGSEGV, would produce an undefined process behavior

### Reaction to a signal

#### Catch the signal

- signal (SIGname, signalHandlerFunction)
- where
  - **SIGname** indicates the signal type
  - signalHandlerFunction is the user defined signal handler function
- The signal handler
  - Can take action considered correct for the management of the signal
  - Is executed asynchronously when the signal is received
  - When it returns, the process continues with the next instruction, as it happens for interrupts

A signal handler function must be defined for every signal type that must be caught

```
Signal handler for
#include <signal.h>
                                             signal SIGINT
#include <stdio.h>
#include <unistd.h>
void manager (int sig) {
  printf ("Received signal %d\n", sig);
  // signal (SIGINT, manager);
  return;
                                             Obsolete versions:
                                           re-instantiate the signal
int main() {
  signal (SIGINT, manager);
  while (1) {
                                           Declares the signal
    printf ("main: Hello!\n");
                                                handler
    sleep (1);
```

```
Same signal handler
                                         for more than one
void manager (int sig) {
                                            signal type
  if (sig==SIGUSR1)
    printf ("Received SIGUSR1\n");
  else if (sig==SIGUSR2)
    printf ("Received SIGUSR2\n");
  else printf ("Received %d\n", sig);
  return;
                                          Both signal types
int main () {
                                          must be declared
  signal (SIGUSR1, manager);
  signal (SIGUSR2, manager);
```

#### **Example 3-A**

Synchronous management of SIGCHLD (with wait)

```
if (fork() == 0) {
    // child
    i = 2;
    sleep (1);
    printf ("i=%d PID=%d\n", i, getpid());
    exit (i);
} else {
    // father
    sleep (5);
    pid = wait (&code);
    printf ("Wait: ret=%d code=%x\n", pid, code);
}
When a child dies, a SIGCHLD
    signal is sent to the parent

    Wait: ret = 3057 code = 200
```

#### **Example 3-B**

```
Altering the behavior of
                                             Ignore SIGCHLD, sent
        wait
                                             by the kernel to the
                                             parent at the exit of a
signal (SIGCHLD, SIG_IGN);
                                             child
if (fork() == 0) {
                                         PID=3057
  // child
  i = 2;
  sleep (1);
  printf ("i=%d PID=%d\n", i, getpid());
  exit (i);
} else {
                                     No wait:
  // father
                                     Wait: ret = -1 code = 7FFFZ
  sleep (5);
  pid = wait (&code);
  printf ("Wait: ret=%d code=%x\n", pid, code);
```

The execution of a **signal(SIGCHLD, SIG\_IGN)** prevents children from becoming zombies while a **signal(SIGCHLD, SIG\_DFL)** is not sufficient for this purpose (even if SIGCHLD is ignored)

#### **Example 3-C**

```
Asynchronous management
      of SIGCHLD
static void sigChld (int signo) {
  if (signo == SIGCHLD)
    printf("Received SIGCHLD\n");
  return;
signal(SIGCHLD, sigChld);
if (fork() == 0) {
  // child
  exit (i);
} else {
  // father
```

# kill() system call

```
#include <signal.h>
int kill (pid_t pid, int sig);
```

- Send signal (sig) to a process or to a group of processes (pid)
- To send a signal to a process, you must have the rights
  - A user process can send signals only to processes having the same UID
  - > The **superuser** can send signal to any process

#### kill() system call

processes

```
#include <signal.h>
int kill (pid_t pid, int sig);
```

#### Arguments

If pid is	Send sig
>0	To process with PID equal to pid
==0	To all processes with GID equal to its GID (if it has the rights)
<0	To all processes with GID equal to the absolute value of pid (if it has the rights)
==-1	To all processes (if it has the rights) "All process" excludes
	a set of system

## kill system call

```
#include <signal.h>
int kill (pid_t pid, int sig);
```

#### Returned values

- > 0 on success
- $\geq$  -1 on error

If sig=0 a NULL signal is sent (i.e., no signal is sent).

This is often used to check if a process exists: if the kill returns -1 the process does not exist.

## raise() system call

```
#include <signal.h>
int raise (int sig);
```

- The raise system call allows a process to send a signal to itself
  - raise (sig) is equivalent to
  - kill (getpid(), sig)

# pause() system call

```
#include <unistd.h>
int pause (void);
```

- Suspends the calling process until a signal is received
- Returns after the completion of the signal handler
  - > In this case the function returns -1

## alarm() system call

```
#include <unistd.h>
unsigned int alarm (unsigned int seconds);
```

- Activate a timer (i.e., a count-down)
  - ➤ The **seconds** parameter specifies the count-down value (in seconds)
  - At the end of the countdown the signal SIGALRM is generated
    - If SIGALRM is not caught or ignored, the default action is the process termination

## alarm() system call

```
#include <unistd.h>
unsigned int alarm (unsigned int seconds);
```

- If the system call is executed before the previous call has originated the corresponding signal, the count-down restarts from a new value.
  - ➤ In particular, if seconds is equal to 0 (seconds), the previous alarm is deactivated

## alarm() system call

```
#include <unistd.h>
unsigned int alarm (unsigned int seconds);
```

#### Returned values

- the number of seconds remaining until the delivery of a previously scheduled alarm
- > zero if there was no a previously scheduled alarm

### alarm system call

```
#include <unistd.h>
unsigned int alarm (unsigned int seconds);
```

#### Warning

- The signal is generated by the kernel
  - It is possible that the process get the CPU control after some time, depending on the scheduler decisions
- There is only one time counter for each process, and system calls sleep and alarm uses the same kernel timer

The signal handler

❖ Implement system call sleep using system calls

alarm and pause

```
must be instanced
#include <signal.h>
                                             before setting the
#include <unistd.h>
                                                  alarm
static void sig_alrm(int signo) {return;}
unsigned int sleep1 (unsigned int nsecs)
  if (signal(SIGALRM, sig_alrm) == SIG_ERR)
    return (nsecs);
                                       After setting the
  alarm (nsecs);
                                       alarm the system
  pause ();
                                        waits a signal
  return (alarm(0));
```

Returns 0, or the remaining time before the delivery if **pause** returns because another signal has been received

Implement system call alarm using system calls fork, signal, kill and pause

```
#include <stdio.h>
#include <unistd.h>
#include <signal.h>

void myAlarm (int sig) {
  if (sig==SIGALRM)
    printf ("Alarm on ...\n");
  return;
}
```

```
int main (void) {
  pid_t pid;
  (void) signal (SIGALRM, myAlarm);
  pid = fork();
  switch (pid) {
    case -1: /* error */
                                       The child waits
      printf ("fork failed");
                                         and sends
      exit (1);
                                         SIGALRM
    case 0: /* child */
      sleep(5);
      kill (getppid(), SIGALRM);
      exit(0);
  /* parent */
                           The parent pauses, and continues
  pause ();
                           only when it receives the SIGALRM
  exit (0);
                                  sent by the child
```

# **Signal limitations**

- Signals do not convey any information
- The memory of the "pending" signals is limited
  - Max one signal pending (sent but not delivered) per type
    - Forthcoming signals of the same type are lost
  - Signals can be ignored
- Signals require functions that must be reentrant
- Produce race conditions
- Some limitations are avoided in POSIX.4

# **Memory limit**

- The memory related to "pending" signals is limited
  - ➤ There is at most one "pending" signal (sent, delivered, but not managed) for each type of signal
    - Subsequent signals (of the same type) are lost
  - Signals can be blocked, i.e., you can avoid receiving them

Most UNIX systems do not queue signals

Program with 2 signal handlers: sigUsr1 and ...

```
static void sigUsr1 (i...);
static void sigUsr2 (int);
static void
sigUsr1 (int signo) {
  if (signo == SIGUSR1)
   printf("Received SIGUSR1\n");
  else
    printf("Received wrong SIGNAL\n");
  fprintf (stdout, "sigUsr1 sleeping ...\n");
  sleep (5);
  fprintf (stdout, "... sigUsr1 end sleeping.\n");
  return;
```

Program with 2 signal handlers: sigUsr1 and sigUsr2

```
static void
sigUsr2 (int signo) {
  if (signo == SIGUSR2)
     printf("Received SIGUSR2\n");
  else
     printf("Received wrong SIGNAL\n");

fprintf (stdout, "sigUsr2 sleeping ...\n");
  sleep (5);
  fprintf (stdout, "... sigUsr2 end sleeping.\n");
  return;
}
```

```
int
main (void) {
  if (signal(SIGUSR1, sigUsr1) == SIG_ERR) {
    fprintf (stderr, "Signal Handler Error.\n");
    return (1);
  if (signal(SIGUSR2, sigUsr2) == SIG_ERR) {
    fprintf (stderr, "Signal Handler Error.\n");
    return (1);
  while (1) {
    fprintf (stdout, "Before pause.\n");
    pause ();
    fprintf (stdout, "After pause.\n");
  return (0);
                                  The main iterates waiting
```

The main iterates waiting signals from shell

#### Shell commands

```
> ./pgrm &
[3] 2636
> Before pause.
> kill -USR1 2636
> Received SIGUSR1
sigUsr1 sleeping ...
    sigUsr1 end sleeping.
After pause.
Before pause.
> kill -USR2 2636
> Received SIGUSR2
sigUsr2 sleeping ...
... sigUsr2 end sleeping.
After pause.
Before pause.
```

Correctly received SIGUSR1

Correctly received SIGUSR2

Observation:

shell command **kill** sends a signal to a process with a specified PID

Two signals sent in sequence: SIGUSR1 and SIGUSR2

```
> kill -USR1 2636 ; kill -USR2 2636
> Received SIGUSR2
sigUsr2 sleeping ...
... sigUsr2 end sleeping.
Received SIGUSR1
sigUsr1 sleeping ...
... sigUsr1 end sleeping.
After pause.
Before pause.
```

Both are received

The deliver order of the two signal cannot be predicted (it this case SIGUSR2 arrives first)

```
> kill -USR1 2636 ; kill -USR2 2636 ; kill -USR1 2636
> Received SIGUSR1
sigUsr1 sleeping ...
... sigUsr1 end sleeping.
                                      Three signals sent in
Received SIGUSR2
                                   sequence: two SIGUSR1 and
sigUsr2 sleeping ...
                                         one SIGUSR2
... sigUsr2 end sleeping.
After pause.
Before pause.
                                         A SIGUSR1 is lost
> kill -9 2636
[3]+ Killed ./pgrm
```

-9 = SIGKILL = Kill

Kill a process

### **Reentrant functions**

- A signal has the following behavior:
  - > The interruption of the current execution flow
  - > The execution of the signal handler
  - The return to the standard execution flow at the end of the signal handler

### Consequently

- ➤ The kernel **knows** where a signal handler returns, but
- The signal handler **does not know** where it was called, i.e., the control flow was interrupted by the signal

### **Reentrant functions: Examples**

- What happens if the signal handler performs an operation that is **not compatible** with the original execution flow?
  - Suppose a malloc is interrupted, and the signal handler calls another malloc
    - Function malloc manages the list of the free memory regions, which could be corrupted
  - Suppose that the execution of a function that uses a **static variable** is interrupted, but is then called by the signal handler
    - The static variable could be used to store a new value, i.e., it does not remain the same it was before the signal was delivered

### **Reentrant functions: Conclusions**

- The "Single UNIX Specification" defines the reentrant functions, which can be interrupted without problems
  - > read, write, sleep, wait, etc.
- Most of the I/O standard C functions are not reentrant
  A cell to print a print on the interest of the I/O standard C functions are not reentrant
  - > printf, scanf, etc.

- A call to printf can be interrupted and give unexpected results
- > They use static variables or global variables
- They must be used carefully and being aware of possible problems

### **Race conditions**

#### Race condition

- ➤ The result of more concurrent processes working on common data depends on the execution order of the processes instructions
- Concurrent programming is subject to race conditions
- Using signals increases the probability of race conditions.

# Race conditions example A

Suppose a process decides to suspend itself for a given number of seconds

See implementation of **sleep** using **alarm** and **pause** 

See implementation of alarm using fork, signal, kill and pause

```
static void
myHandler (int signo) {
    ...
}
...
signal (SIGALARM, myHandler)
alarm (nSec);
pause ();
```

# Race conditions example A

- Suppose a process decides to suspend itself for a given number of seconds
- The signal could be delivered before the execution of pause due to a contest switching and scheduling decisions (especially in high loaded systems)

```
static void
myHandler (int signo) {
    ...
}
...
signal (SIGALARM, myHandler)
alarm (nSec);
pause ();
```

Signal **SIGALRM** can be delivered before **pause** 

pause blocks the process forever because the signal has been lost

# Race conditions example B

- Suppose two processes P<sub>1</sub> and P<sub>2</sub> decide to synchronize by means of signals
- Unfortunately
  - If P<sub>1</sub> (P<sub>2</sub>) signal is delivered before P<sub>2</sub> (P<sub>1</sub>) executes pause
  - > Process P<sub>2</sub> (P<sub>1</sub>) blocks forever waiting a signal

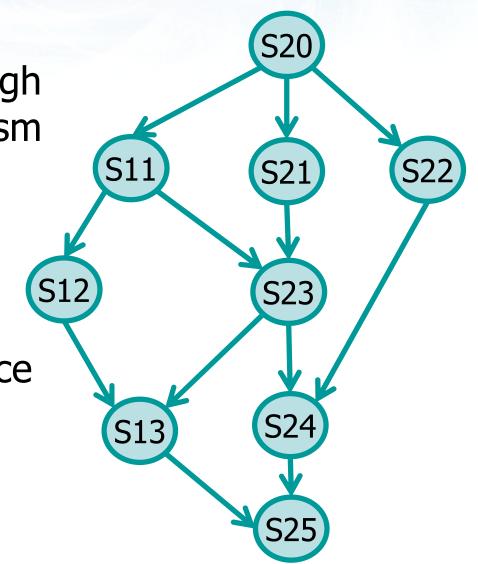
```
P<sub>1</sub>
while (1) {
    ...
    kill (pidP2, SIG...);
    pause ();
}
kill (pidP1, SIG...);
}
```

### **Exercise**

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Despite their defects, signals can provide a rough synchronization mechanism

Ignoring the race
conditions (and using
fork, wait, signal,
kill, and pause)
implement this precedence
graph



### **Solution**

Definition of the signal handler

```
static void
sigUsr ( int signo) {
  if (signo==SIGUSR1)
    printf ("SIGUSR1\n");
  else if (signo==SIGUSR2)
    printf ("SIGUSR2\n");
  else
    printf ("Signal %d\n", signo);
  return;
}
```

### **Solution**

Instancing of the signal handler for signals SIGUSR1 and SIGUSR2

```
int main (void) {
  pid_t pid;

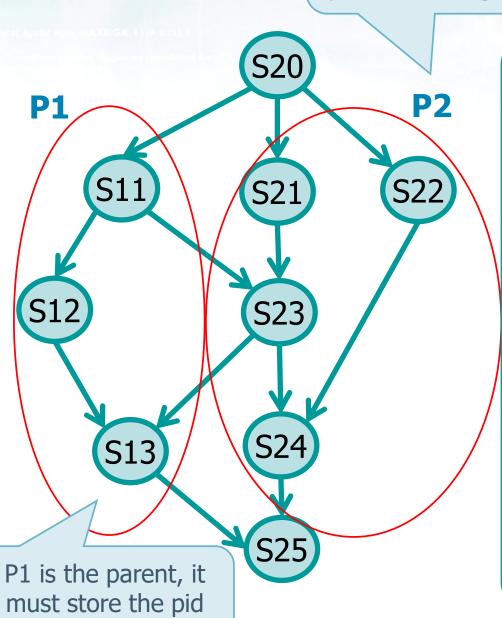
if (signal(SIGUSR1, sigUsr) == SIG_ERR) {
    printf ("Signal Handler Error.\n");
    return (1);
}

if (signal(SIGUSR2, sigUsr) == SIG_ERR) {
    printf ("Signal Handler Error.\n");
    return (1);
}
```

of the child

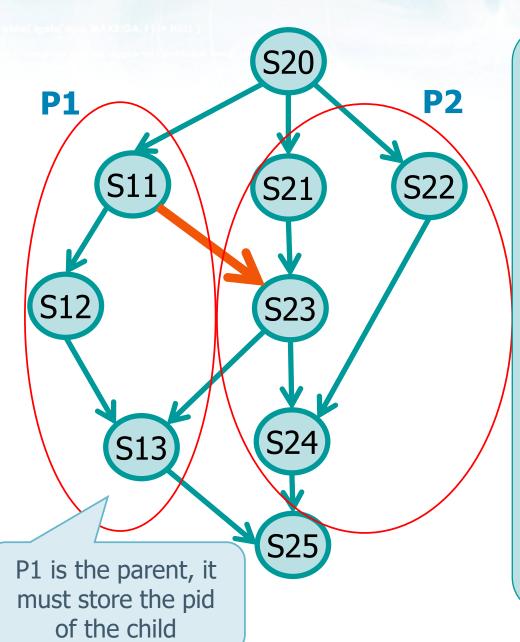
P2 is the child. It can obtain the pid of the parent with getppid()

### **Solution**



```
printf ("S20\n");
pid = fork ();
if (pid > (pid_t) 0) {
  P1 (pid);
  wait ((int *) 0);
} else {
 P2 ();
  exit (0);
printf ("S25\n");
return (0);
```

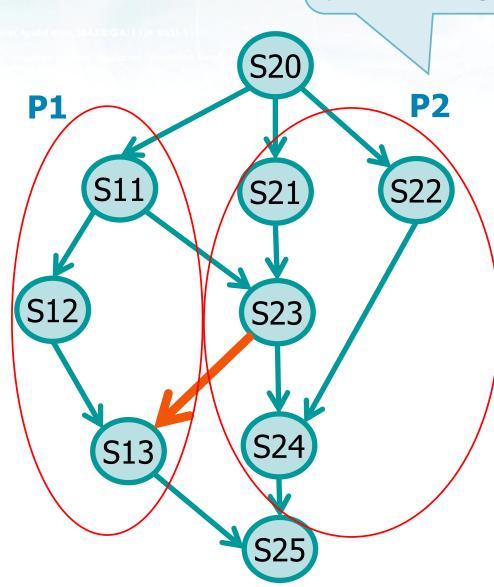
### **Solution**



```
void P1 (
  pid_t cpid
  printf ("S11\n");
  sleep (1); // !?
  kill (cpid, SIGUSR1);
  printf ("S12\n");
  pause ();
  printf ("S13\n");
  return;
```

P2 is the child. It can obtain the pid of the parent with getppid()

### **Solution**



```
void P2 ( ) {
  if (fork () > 0) {
    printf ("S21\n");
    pause ();
    printf ("S23\n");
    kill (getppid (),
               SIGUSR2);
    wait ((int *) 0);
  } else {
    printf ("S22\n");
    exit (0);
  printf ("S24\n");
  return;
```