```
#include <string.h>
#define MAXPAROLA 30
#define MAXRIGA 80
   int freq[MAXPAROLA]; /* vettore di contatori
delle frequenze delle lunghezze delle parole
   f = fopen(argv[1], "rf");
if(f==NULL)
```

Synchronization

Semaphores

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Introduction

- The previous solutions are not satisfactory, because
 - software solutions are complex to use from the point of view of the programmer
 - hardware solutions are difficult to implement from the point of view of the hardware designer
- OSs provide more appropriate primitives called semaphores
 - ➤ Introduced by Dijkstra in 1965
 - They are not based on busy waiting implementation, and therefore they do not waste resources

Definition

- A semaphore S is a shared structure including
 - > A counter
 - > A waiting queue, managed by the kernel
 - Both protected by a lock

- Operations on S are atomic
 - Atomicity is managed by the OS
 - ➤ It is impossible for two threads to perform simultaneous operations on the same semaphore

Definition

- A semaphore S is
 - > An integer shared variable
 - Protected by the operating system
 - > Usable for mutual exclusion and synchronization
- Operation on S are always executed in an atomic way
 - The atomicity is guaranteed by the operating system
 - ➤ It is impossible for two processes to execute concurrent operations on the same semaphore

Shared object, of integer type, which behaves as a shared counter

Manipulation functions

- Typical operations on a semaphore S
 - init (S, k)
 - Defines and initializes the semaphore S to the value k
 - > wait (S) ______ sleep, down, P
 - Allows (in the reservation code) to obtain the access of the CS protected by the semaphore S
 - > signal (S) wakeup, up, V
 - Allows (in the release code) to release the CS protected by the semaphore S
 - destroy (S)
 - Frees the semaphore S

They are not the "wait" and "signal" seen in the past

init(S, k)

k is a counter

known as "mutex lock"

 $(mutex \equiv MUTual EXclusion)$

- Defines and initializes semaphore S to value k
- > Two types of semaphores
 - Binary semaphores
 - The value of k is only 0 or 1
 - Counting semaphores
 - The value of k is non negative

```
init (S, k) {
  alloc (S);
  S=k;
}
```

Logical implementation

Atomic operation

wait(S)

- ➤ If the counter value of **s** is negative or zero blocks the calling T/P
 - If S is negative, its absolute value |S| indicates the number of waiting threads
- > The counter is decremented at each call

Logical implementation

In the logical versions S is always positive

wait (S) {
 while (S<=0);
 S--;
}</pre>

Real implementations do **not** use busy waiting

Atomic operation

Other possible (and equivalent) logical implementation

```
wait (S) {
  if (S==0) block();
  else S--;
}
```

wait(S)

- Originally called P () from the Dutch language "probeer te verlagen", i.e., "try to decrease"
- Not to be confused with the wait system call used to wait for a child process

```
Logical implementation

wait (S) {
  while (S<=0);
  S--;
}</pre>
```

In the logical versions S is always positive

Real implementations do **not** use busy waiting

Atomic operation

Other possible (and equivalent) logical implementation

```
wait (S) {
  if (S==0) block();
  else S--;
}
```

signal(S)

- Increases the semaphore s
 - If s counter is negative or zero some T/P was blocked on the semaphore queue, and it can be wakeup
- Originally called v(), from the Dutch language "verhogen", i.e., "to increment"
- Not to be confused with system call signal that is used to declare a signal handler

```
Logical implementation

Other possible (and equivalent) logical implementation

signal (S) {
   S++;
   Atomic operation (register=s;register++;s=register;)
```

```
signal (S) {
   if (blocked())
    wakeup();
   else S++;
}
```

- destroy(S)
 - > Release semaphore s memory
 - Actual implementations of a semaphore require much more of a simple global variable to define a semaphore
 - > This function is often not used in the examples

```
destroy (S) {
  free (S);
}
Logical
implementation
```

The semaphore queue

- ➤ Is implemented in kernel space by means of a queue of Thread Control Blocks
- ➤ The kernel scheduler decides the queue management strategy (not necessarily FIFO)

Mutual exclusion with semaphore

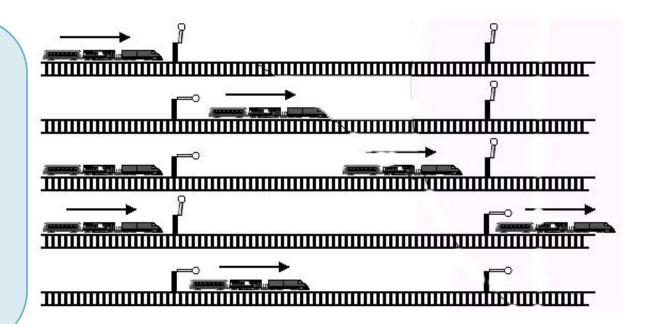
```
init (S, 1);
```

```
while (TRUE) {    P<sub>i</sub> / T<sub>i</sub>
    wait (S);
    CS
    signal (S);
    non critical section
}
```

```
while (TRUE) {    P<sub>j</sub> / T<sub>j</sub>
    wait (S);
    CS
    signal (S);
    non critical section
}
```

Remember:

```
wait (S) {
   if (S==0) block();
   else S--;
}
signal (S) {
   if (blocked())
     wakeup();
   else S++;
}
```



Critical sections of N threads

```
init (S, 1);
...
wait (S);
CS
signal (S);
```

T_1	T_2	T ₃	S	queue
			1	
wait			0	
CS ₁	wait		-1	T_2
	poyoold CS ₂	wait	-2	T_2 , T_3
		blocked	-2	T_2 , T_3
signal			-2	T_2 , T_3
			-1	T ₃
	signal		0	
		CS ₃	0	
		signal	1	

At most **one** T/P at a time in the critical section

Critical sections of N threads

```
init (S, 2);
...
wait (S);
CS
signal (S);
```

T ₁	T ₂	T ₃	S	queue
			2	
wait			1	
CS ₁	wait		0	
	CS ₂	wait	-1	T ₃
		blocked	-1	T ₃
signal		bloc	0	
		CS ₃	0	
	signal		1	
		signal	2	

Threads 1 and 2 in their CSs

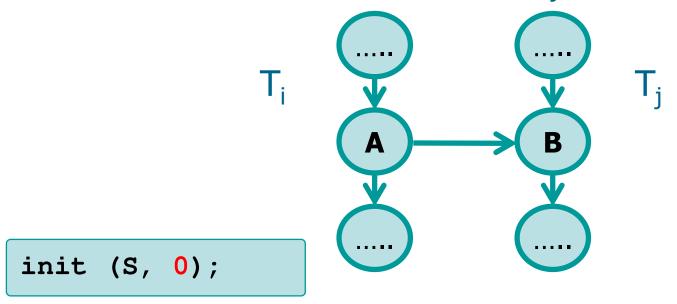
Threads 2 and 3 in their CSs

At most **two** T/P at a time in the critical section

Synchronization with semaphores

- The use of semaphores is not limited to the Critical Section access protocol
- Semaphores can be used to solve any synchronization problem using
 - An appropriate positioning of semaphores in the code
 - Possibly, more than one semaphore
 - Possibly, additional shared variables

- Obtain a specific order of execution
 - > T_i executes code A before T_j executes code B



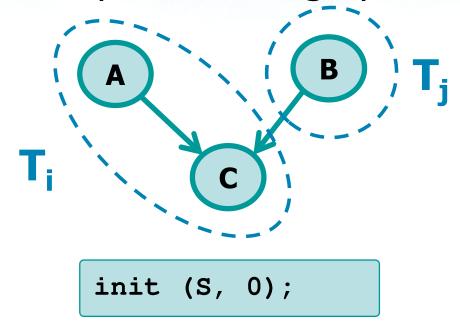
```
...... T<sub>i</sub>
A;
signal (S);
......
```

```
..... T<sub>j</sub>
wait (S);
B;
.....
```

- Synchronize two T/P so that
 - $ightharpoonup T_j$ waits T_i
 - then, T_i waits T_j
 - > It is a client-server schema

```
init (S1, 0);
init (S2, 0);
```

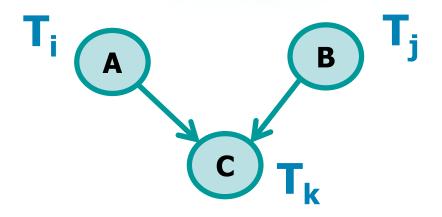
Implement this precedence graph



```
A;
wait (S);
C;
```

```
B; signal (S);
```

Other possible solution involving 3 P/T



```
init (S, 0);
```

```
A; signal (S);
```

```
wait (S);
wait (S);
C;
```

```
B;
signal (S);
```

S1

Pure synchronization: Example 4

Implement this precedence graph

cobegin-coend (concurrent begin-end)

```
init (S1, 0);
init (S2, 0);
```

Note: These threads are not cyclic

```
P<sub>0</sub>/T<sub>0</sub>

P<sub>0</sub>

for (i=1; i<=n; i++)

signal (S1);
...
```

```
P<sub>i</sub>/T<sub>i</sub>

wait (S1);

P<sub>i</sub>
signal (S2);
...
```

```
P<sub>n+1</sub>/T<sub>n+1</sub>
...
for(i=1;i<=n;i++)
wait (S2);
P<sub>n+1</sub>
```

Just a single thread is incorrect

```
init (S, 1);
```

 T_1

```
while (TRUE) {
    ...
    signal (S); !!
    CS1
    wait (S); !!
    ...
}
```

 T_2

```
while (TRUE) {
    ...
    wait (S);
    CS2
    signal (S);
    ...
}
```

 T_3

```
while (TRUE) {
    ...
    wait (S);
    CS3
    signal (S);
    ...
}
```

Enters its CS and makes possible that the two other threads enter their CSs

Just a single thread is incorrect

```
init (S, 1);
```

 T_1

```
while (TRUE) {
    ...
    wait (S);
    CS1
    wait (S); !!
```

 T_2

```
while (TRUE) {
    ...
    wait (S);
    CS2
    signal (S);
    ...
}
```

 T_3

```
while (TRUE) {
    ...
    wait (S);
    CS3
    signal (S);
    ...
}
```

When the second wait is executed all thread are in deadlock

Just a single thread is incorrect

```
init (S, 1);
```

 T_1

```
while (TRUE) {
    ...
    signal(S); !!
    CS1
    signal(S);
    ...
}
```

 T_2

```
while (TRUE) {
    ...
    wait (S);
    CS2
    signal (S);
    ...
}
```

 T_3

```
while (TRUE) {
    ...
    wait (S);
    CS3
    signal (S);
    ...
}
```

When the first signal is executed, two threads can enter their CSs. When the second signal is executed, all threads can enter their CSs.

Just a single thread is incorrect

```
init (S, 1);
```

 T_1

```
while (TRUE) {
    ...
    wait(S);
    CS1
    !! no signal(S)
    ...
}
```

 T_2

```
while (TRUE) {
    ...
    wait (S);
    CS2
    signal (S);
    ...
}
```

 T_3

```
while (TRUE) {
    ...
    wait (S);
    CS3
    signal (S);
    ...
}
```

After T₁ exit its CS, all threads will be in deadlock

If T₃ is fast, all threads can enter their CSs

Just a single thread is incorrect

```
init (S, 1);
```

 T_1

```
while (TRUE) {
    ...
!! no wait(S);
    CS1
    signal (S);
    ...
}
```

 T_2

```
while (TRUE) {
    ...
    wait (S);
    CS2
    signal (S);
    ...
}
```

 T_3

```
while (TRUE) {
    ...
    wait (S);
    CS3
    signal (S);
    ...
}
```

If T_1 is fast (i.e., it does two loops in the while cycle), all threads can enter their CSs

Acquiring two resources

```
init (S, 1);
init (Q, 1);
```

 T_1

 T_2

```
while (TRUE) {
    ...
    wait (S);
    ... Use S
    wait (Q);
    ... Use S and Q
    signal (Q);
    signal (S);
    ...
}
```

```
while (TRUE) {
    ...
    wait (Q);
    ... Use Q
    wait (S);
    ... Use Q and S
    signal (S);
    signal (Q);
    ...
}
```

Access to pen-drive, then to HD

Access to HD, then to pen-drive

Exercise

- Given the code of these three threads
 - Which is the possible execution order?

```
init (S1, 1);
init (S2, 0);
```

```
while (1) {
  wait (S1);
  T<sub>1</sub> code
  signal (S2);
}
...
```

```
T2
...
while (1) {
  wait (S2);
  T2 code
  signal (S2);
}
...
```

```
mait (1) {
  wait (S2);
  T<sub>3</sub> code
  signal (S1);
}
```

Solution

It is a peculiar synchronization example !!

```
init (S1, 1);
init (S2, 0);
```

```
while (1) {
  wait (S1);
  T<sub>1</sub> code
  signal (S2);
}
```

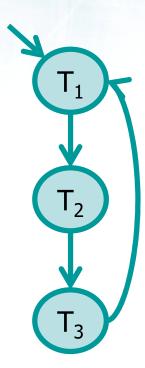
```
T2
...
while (1) {
   wait (S2);
   T2 code
   signal (S2);
}
```

```
mait (1) {
  wait (S2);
  T<sub>3</sub> code
  signal (S1);
}
...
```

Exercise

- Implement this precedence graph using semaphores
 - > All T/P must be cyclic

This way they don't have to be instantiated several times



Solution

- Implement this precedence graph using semaphores
 - > All T/P must be cyclic

```
init (S1, 1);
init (S2, 0);
init (S3, 0);
```

```
while (1) {
  wait (S1);
  T<sub>1</sub> code
  signal (S2);
}
...
```

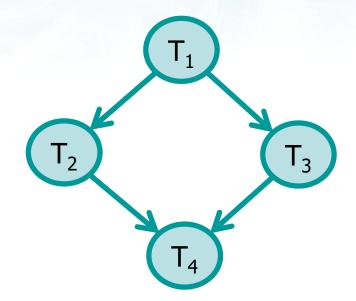
```
T2
while (1) {
  wait (S2);
  T2 code
  signal (S3);
}
...
```

```
T<sub>1</sub>
T<sub>2</sub>
```

```
T<sub>3</sub>
while (1) {
  wait (S3);
  T<sub>3</sub> code
  signal (S1);
}
```

Exercise

- Implement this precedence graph using semaphores
 - > T/P are not cyclic



Solution

- Implement this precedence graph using semaphores
 - > T/P are not cyclic

```
init (S1, 0);
init (S2, 0);
```

```
wait (S1);
T<sub>2</sub> code
signal (S2);
```

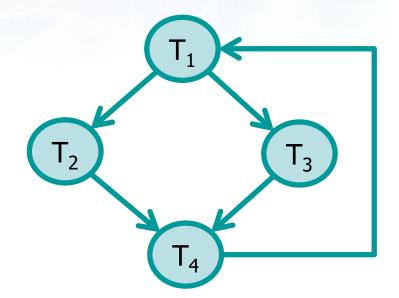
```
T<sub>1</sub> code
signal (S1);
signal (S1);
```

```
wait (S1);
T<sub>3</sub> code
signal (S2);
```

```
T<sub>4</sub>
wait (S2);
wait (S2);
T<sub>4</sub> code
```

Exercise

- Implement this precedence graph using semaphores
 - > All T/P must be cyclic



Erroneous solution

- Implement this precedence graph using semaphores
 - > All T/P must be cyclic

```
init (S1, 1);
init (S2, 0);
init (S3, 0);
```

```
while (1) {
    wait (S1);
    T<sub>1</sub> code
    signal (S2);
    signal (S2);
}
```

```
while (1) { T<sub>2</sub>
    wait (S2);
    T<sub>2</sub> code
    signal (S3);
}
```

```
while (1) {
   wait (S2);
   T<sub>3</sub> code
   signal (S3);
}
```

```
T<sub>1</sub>
NO
S2
T<sub>3</sub>
OK
T<sub>4</sub>
```

```
while (1) {
    wait (S3);
    wait (S3);
    T<sub>4</sub> code
    signal (S1);
}
```

Solution

- Implement this precedence graph using semaphores
 - > All T/P must be cyclic

```
init (S1, 1);
init (S2, 0);
init (S3, 0);
init (S4, 0);
```

```
while (1) {
    wait (S1);
    T<sub>1</sub> code
    signal (S2);
    signal (S3);
}
```

```
while (1) { T<sub>2</sub>
    wait (S2);
    T<sub>2</sub> code
    signal (S4);
}
```

```
while (1) {
    wait (S3);
    T<sub>3</sub> code
    signal (S4);
}
```

```
h T<sub>1</sub> S3 S1

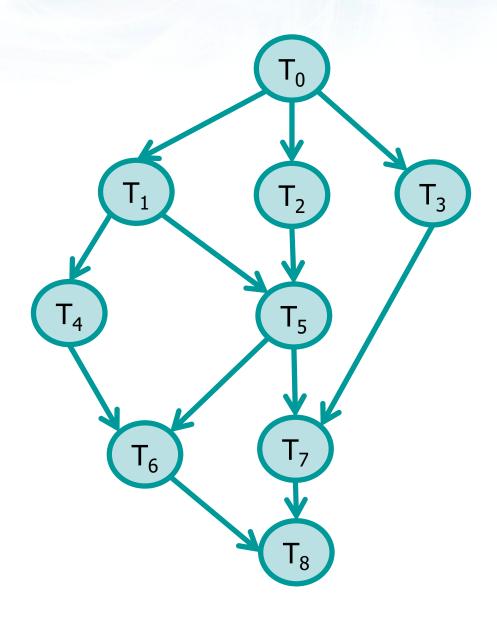
T<sub>2</sub> S4 T<sub>3</sub>

T<sub>4</sub>
```

```
while (1) {
    wait (S4);
    wait (S4);
    T<sub>4</sub> code
    signal (S1);
}
```

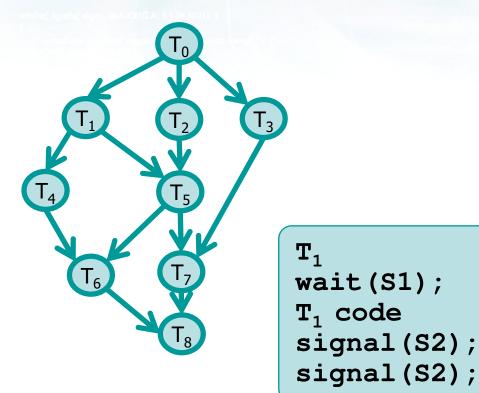
Exercise

- Implement this precedence graph using semaphores
 - > T/P are **not cyclic**



Operating Systems Con I nome del Malay

Erroneous solution



```
T<sub>0</sub>
T<sub>0</sub> code
signal(S1);
signal(S1);
signal(S1);
```

```
T<sub>2</sub>
wait(S1);
T<sub>2</sub> code
signal(S2);
```

```
T<sub>3</sub>
wait(S1);
T<sub>3</sub> code
...
```

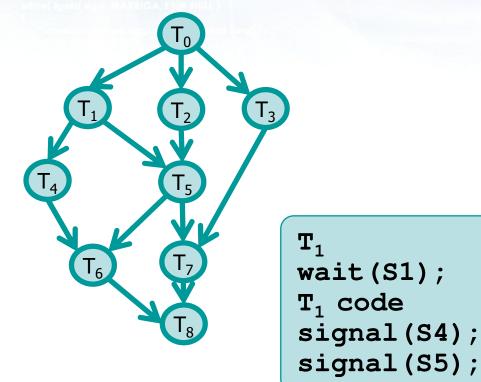
```
init (S1, 0);
init (S2, 0);
init (S3, 0);
...
```

```
T<sub>4</sub>
wait (S2);
T<sub>4</sub> code
...
```

```
T<sub>5</sub>
wait(S2);
wait(S2);
T<sub>5</sub> code
...
```

. . .

Solution



```
T<sub>0</sub>
T<sub>0</sub> code
signal(S1);
signal(S2);
signal(S3);
```

```
T<sub>2</sub>
wait (S2);
T<sub>2</sub> code
signal (S5);
```

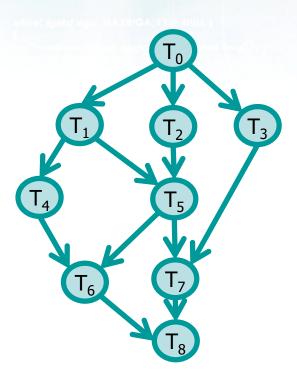
```
T<sub>3</sub>
wait(S3);
T<sub>3</sub> code
signal(S7);
```

```
init (S1, 0);
init (S2, 0);
init (S3, 0);
...
```

```
T<sub>4</sub>
wait(S4);
T<sub>4</sub> code
signal(S6);
```

```
T<sub>5</sub>
wait (S5);
wait (S5);
T<sub>5</sub> code
signal (S6);
signal (S7);
```

Solution



```
T<sub>6</sub>
wait (S6);
wait (S6);
T<sub>6</sub> code
signal (S8);
```

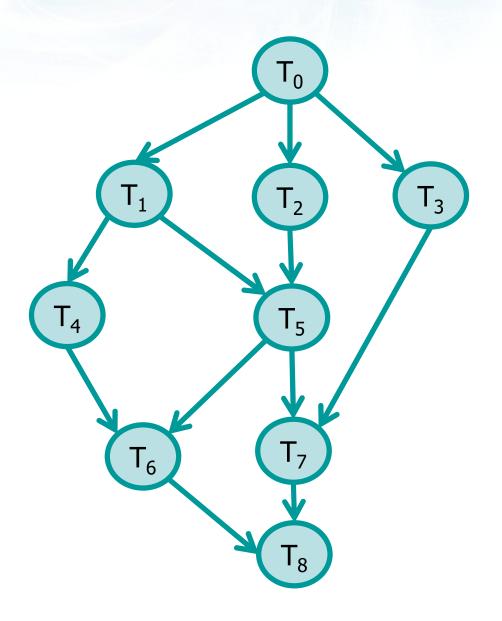
```
T<sub>7</sub>
wait(S7);
wait(S7);
T<sub>7</sub> code
signal(S8);
```

```
T<sub>8</sub>
wait(S8);
wait(S8);
T<sub>8</sub> code
```

This solution is correct, but the number of semaphores is **not minimal**.

Exercise

- Implement this precedence graph using semaphores
 - Version A: T/P are not cyclic, but use the minimum number of semaphores
 - Version B: T/P are cyclic



Implementation of a semaphore

- Semaphores must be implemented without "active" busy waiting (spin-lock)
- We define a semaphore as a C structure with
 - > A counter
 - > A list (queue) of processes

Implementation of a semaphore

Wait only if cnt < 0

```
init (semaphore_t *S, int k) {
  alloc S;
  S->cnt = k;
  S->head = NULL;
}
Init with
k \ge 0
```

```
wait (semaphore_t *S) {
   S->cnt--;
   if (S->cnt<0) {
      push P to S->head;
      block P;
   }
}
```

```
signal (semaphore_t *S) {
   S->cnt++;
   if (S->cnt<=0) {
      pop P from S->head;
      wakeup P;
   }
}
There are
```

cnt can assume negative values

```
destroy (semaphore_t *S) {
  while (S->cnt<=0) {
    free P from S->head;
    S->cnt++;
  }
}
```

queued P only if $cnt \leq 0$

All remaining P were extracted from the queue

Implementation of a semaphore

- The real implementation allows a semaphore to have negative values
 - ➤ Its absolute value indicates the number of processes in the queue of the semaphore

The queue

- Can be implemented with a pointer in the Process Control Block (PCB) of the processes
- It uses the policies defined by the scheduler (e.g., FIFO)

Real implementations

- There are several semaphores implementations
 - > Semaphores by means of a pipe
 - POSIX Pthread
 - Condition variables
 - Semaphores
 - The most important
 - Mutex (for mutual exclusion)

System call:

pthread_cond_init
pthread_cond_wait
pthread_cond_signal
pthread_cond_broadcast
pthread_cond_destroy

- Linux semaphores
- Notice that semaphores are
 - Global shared objects (see sem_init)
 - They are allocated by a thread, but they are kernel objects

System call:

semget, semop, semctl
(in sys/sem.h) they are
complex to use

Semaphore by means of a pipe

Given a pipe

- The counter of a semaphore is achieved by means of tokens
- Signal implemented using the write system call to write a token on the pipe (non-blocking)
- Wait implemented using the read system call to read a token from the pipe (blocking)

semaphoreInit (s)

```
#include <unistd.h>
                                                 Writes k
void semaphoreInit (int *S, int k) {
                                              characters, i.e.,
  char ctr = 'X';
                                               initializes the
  int i;
                                               semaphore
  if (pipe (S) == -1) {
    printf ("Error"); exit (-1);
                                               counter to k
  for(i=0; i<k; i++)
    if (write(S[1], &ctr, sizeof(char)) != 1) {
      printf ("Error"); exit (-1);
  return;
```

- Semaphore initialization
 - > The variable S must be defined as a global variable
 - int S[2];
 - int *S = malloc (2 * sizeof (char));

semaphoreSignal (s)

```
#include <unistd.h>

void semaphoreSignal (int *S) {
   char ctr = 'X';
   if (write(S[1], &ctr, sizeof(char)) != 1) {
      printf ("Error");
      exit (-1);
   }
   return;
}
Writes a single character,
   i.e., increments the
   semaphore counter k
```

- Writes a character (any) on a pipe
 - > Suppose the number of writes (signals) before a read (wait) not exceed the dimension of the pipe

semaphoreWait (s)

```
#include <unistd.h>

void semaphoreWait (int *S) {
   char ctr;
   if (read (S[0], &ctr, sizeof(char)) != 1) {
      printf ("Error");
      exit (-1);
   }
   return;
}
If the pipe is empty,
   read() waits
```

Reads a character from a pipe (read is blocking)

Example

Use of a pipe as a synchronization semaphore between P parent and P child

```
int main() {
  int S[2];
 pid_t pid;
  semaphoreInit (S, 0);
  pid = fork();
  // Check for correctness
  if (pid == 0) {
                                    // child
    semaphoreWait (S);
    printf("Wait done.\n");
  } else {
                                    // parent
    printf("Sleep 3s.\n");
    sleep (3);
    semaphoreSignal (S);
    printf("Signal done.\n");
   return 0;
```

POSIX semaphores

There are two types of POSIX semaphores

- Unnamed semaphores
 - Implemented in the internal memory of the process
 - They are used for the synchronization of threads within the same process

Named semaphores

- Implemented using shared memory, they are "process-shared semaphore"
- The are generally used in the synchronization between processes
 - The name (sem_open) allows their use in different processes

POSIX semaphores

- We will analyze only unnamed semaphores
 - ➤ The implementation is independent from the OS, and it is defined in the semaphore.h header file
 - > Insert in the .c file
 - #include <semaphore.h>
- The semaphore is a variable of type sem_t
 - A semaphore can be allocated statically or dynamically
 - sem_t *sem1, *sem2, ...;
- Functions defined on semaphores
 - Are named sem_*
 - > Return -1 on error

System call:
sem_init
sem_wait
sem_trywait
sem_post
sem_getvalue
sem_destroy

sem_init()

```
int sem_init (
   sem_t *sem,
   int pshared,
   unsigned int value
);
```

- Initializes the semaphore counter at value value
- The pshared value identifies the type of semaphore
 - If equal to 0, the semaphore is local to the threads of current process
 - Otherwise, the semaphore can be shared between different processes (parent that initializes the semaphore and its children)
 Linux does not currently support

shared semaphores

sem_wait()

```
int sem_wait (
   sem_t *sem
);
```

Standard wait

➤ If the semaphore is equal to 0, it blocks the caller until it can decrease the value of the semaphore

sem_trywait()

```
int sem_trywait (
   sem_t *sem
);
```

Non-blocking wait

- If the semaphore counter has a value greater than 0, perform the decrement, and returns 0
- If the semaphore is equal to 0, returns -1 (instead of blocking the caller as sem_wait does)

sem_post()

```
int sem_post (
   sem_t *sem
);
```

Standard signal

Increments the semaphore counter, or wakes up a blocked thread if present

sem_getvalue ()

```
int sem_getvalue (
   sem_t *sem,
   int *valP
);

Better not to use this function. From Linux
manual: "The value of the semaphore may already
have changed by the time sem_getvalue() returns."
```

- Allows obtaining the value of the semaphore counter
 - The value is assigned to *valP
 - > If there are waiting threads
 - 0 is assigned to *valP (Linux)
 - or a negative number whose absolute value is equal to the number of processes waiting (POSIX)

sem_destroy()

```
int sem_destroy (
   sem_t *sem
);
```

- Destroys the semaphore at the address pointed by sem
 - Destroying a semaphore that other threads are currently blocked on produces undefined behavior (on error, -1 is returned)
 - Using a semaphore that has been destroyed produces undefined results, until the semaphore has been reinitialized

```
The use of the sem_*
POSIX functions for
synchronization
```

```
Example
```

```
#include "semaphore.h"
sem_t sem;
sem_init (&sem, 0, 0);
... create threads ...
sem_destroy (&sem);
```

```
sem_wait (&sem);
... SC ...
sem_post (&sem);
```

Static semaphore

Dynamic semaphore



```
sem_wait (sem);
... SC ...
sem_post (sem);
```

Pthread mutex

- Binary semaphores (mutex)
- A mutex is of type pthread_mutex_t
- System calls
 - pthread_mutex_init
 - pthread_mutex_lock
 - pthread_mutex_trylock
 - pthread_mutex_unlock
 - pthread_mutex_destroy

Alternative to sem_xxxx primitives, mutex is less general than semaphores (i.e., they can assume only the two values 0 or 1)

pthread_mutex_init()

```
int pthread_mutex_init (
   pthread_mutex_t *mutex,
   const pthread_mutexattr_t *attr
);
```

- Initializes the mutex referenced by mutex with attributes specified by attr (default=NULL)
- Return value
 - > 0 on success
 - > Error code otherwise

pthread_mutex_lock()

```
int pthread_mutex_lock (
  pthread_mutex_t *mutex
);
```

- Control the value of mutex and
 - Blocks the caller if the mutex is locked
 - Acquire the mutex lock if the mutex is unlocked
- Return value
 - > 0 on success
 - > Error code otherwise

pthread_mutex_trylock()

```
int pthread_mutex_trylock (
  pthread_mutex_t *mutex
);
```

- Similar to pthread_mutex_lock, but returns without blocking the caller if the mutex is locked
- Return value
 - > 0 if the lock has been successfully acquired
 - > EBUSY error if the mutex was already locked by another thread

pthread_mutex_unlock()

```
int pthread_mutex_unlock (
  pthread_mutex_t *mutex
);
```

- Release the mutex lock (typically at the end of a Critical Section)
- Return value
 - > 0 on success
 - > Error code otherwise

pthread_mutex_destroy()

```
int pthread_mutex_destroy (
   pthread_mutex_t *mutex
);
```

- Free mutex memory
- The mutex cannot be used any more
- Return value
 - > 0 on success
 - > Error code otherwise